IN THE UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF ALABAMA EASTERN DIVISION			
	ZOOS JUN 19 A 11: 15		IVISION
DAVID DAVIS,	)	ii .	
Plaintiff,		1	
VS.	)		CIVIL ACTION NO. 300000544
PHENIX CITY, ALABAMA,			
JEFFREY HARDIN, named in his individual and official capacities,		) ) )	
H.H. ROBERTS, named in his individual and official capacities,		) ) )	COMPLAINT FOR DECLARATORY JUDGMENT, COMPENSATION, EQUITABLE AND OTHER RELIEF
WALLACE HUNTER, named in his individual and official capacities,		, ) )	JURY TRIAL DEMAND
ROY WATERS, named in his individual and official capacities,		, ) )	
and	<u> </u>	, )	
BARBARA GOODWIN, named in her individual and official capacities		) ) )	
Defendants.		, ) )	

The plaintiff is an individual who brings this action against the defendants 1. because of defendants' unlawful deprivation of the plaintiff's rights and privileges secured by the U.S. Constitution and § 11-43-143 of the Code of Alabama, and because defendants have engaged in unlawful retaliation and discrimination against the Case 3:06-cv-00544-WHA-WC

seq., and under state law.

# JURISDICTION AND VENUE

2. Jurisdiction of this action is conferred on this Court by 28 U.S.C. §1331, 28 U.S.C. §1337, 28 U.S.C. §1343, 42 U.S.C. §1983, and 28 U.S.C. §1367(a) (supplemental jurisdiction to entertain claims in Count III). Venue lies within this district pursuant to 28 U.S.C. §1391.

#### **PARTIES**

- 3. Plaintiff David Davis is an individual who resides in Phenix City, Alabama. At all times material herein, plaintiff Davis has been a "person" within the meaning of 42 U.S.C. §1983, and a "person" and a "fire fighter" within the meaning of the Alabama State Code, §11-43-143(b).
- 4. Defendant Phenix City (hereafter referred to as "City") is an incorporated municipality under the laws of the State of Alabama. The City is a "person" within the meaning of 42 U.S.C. §1983, and a "person" within the meaning of the Alabama State Code, §11-43-143(b).
- 5. Defendant Jeffrey Hardin is the Mayor of the City, and he is actively engaged in the management, supervision and control of the operations, activities, affairs, finances, property, personnel, compensation and employment conditions of the defendant City. Defendant Mayor Hardin is now, and has been at all times material herein, a "person" within the meaning of 42 U.S.C. §1983, and a "person" within the

meaning of the Alabama State Code, §11-43-143(b). Defendant Mayor Hardin is named in his individual capacity and also in his official capacity. Defendant Mayor Hardin is personally liable for the violations of law and relief claimed herein.

- 6. Defendant H.H. Roberts is the City Manager of Phenix, and he is actively engaged in the management, supervision and control of the operations, activities, affairs, finances, property, personnel, compensation and employment conditions of the defendant City. Defendant City Manager Roberts is now, and has been at all times material herein, a "person" within the meaning of 42 U.S.C. §1983, and a "person" within the meaning of the Alabama State Code, §11-43-143(b). Defendant City Manager Roberts is named in his individual capacity and also in his official capacity. Defendant City Manager Roberts is personally liable for the violations of law and relief claimed herein.
- 7. Defendant Wallace Hunter is the Chief of the City's Fire Department, and he is actively engaged in the management, supervision and control of operations, activities, affairs, finances, property, personnel, compensation and employment conditions of the City's Fire Department. Defendant Chief Hunter is now, and has been at all times material herein, a "person" within the meaning of 42 U.S.C. §1983, and a "person" within the meaning of the Alabama State Code, §11-43-143(b). Defendant Chief Hunter is named in his individual capacity and also in his official capacity. Defendant Chief Hunter is personally liable for the violations of law and relief claimed herein.
- 8. Defendant Roy Waters is the Deputy Chief of the City's Fire Department, and he is actively engaged in the management, supervision and control of operations,

activities, affairs, finances, property, personnel, compensation and employment conditions of the City's Fire Department. Defendant Deputy Chief Waters is now, and has been at all times material herein, a "person" within the meaning of 42 U.S.C. §1983. and a "person" within the meaning of the Alabama State Code, §11-43-143(b). Defendant Deputy Chief Waters is named in his individual capacity and also in his official capacity. Defendant Deputy Chief Waters is personally liable for the violations of law and relief claimed herein.

9. Defendant Barbara Goodwin is the Personnel Director of the City, and she is actively engaged in the management, supervision and control of operations, activities, affairs, finances, property, personnel, compensation and employment conditions of the City's Fire Department. Defendant Personnel Director Goodwin is now, and has been at all times material herein, a "person" within the meaning of 42 U.S.C. §1983, and a "person" within the meaning of the Alabama State Code, §11-43-143(b). Defendant Personnel Director Goodwin is named in her individual capacity and also in her official capacity. Defendant Personnel Director Goodwin is personally liable for the violations of law and relief claimed herein.

# **COMMON ALLEGATIONS**

- 10. Plaintiff Davis was hired by Phenix City and its Fire Department in April 1998. In May 2003, plaintiff Davis was promoted to the rank of Sergeant.
- 11. In October 2005, plaintiff Davis became the President and leader of a local association of fire fighters and rescue service employees employed in the City's Fire Department. In this leadership capacity, plaintiff Davis has been the representative and spokesperson for the other members of the labor association employed in the City's Fire

Department, and he has spoken out on matters of public concern regarding public safety, fire and rescue services, budgetary issues, staffing, job safety and other subjects.

- 12. In the period of 2005 through the present, defendants City Manager Roberts, Chief Hunter and Deputy Chief Waters have made derogatory remarks about the fire fighters local labor organization and about plaintiff Davis in his capacity as the organization's President, as well as about Davis's speaking out on matters of public concern.
- 13. In September 2005, plaintiff Davis was issued a counseling form and threatened with discharge for having spoken to the local media regarding fire department operations and other matters of public concern.
- 14. In September 2005, after plaintiff Davis spoke to the media, defendant Chief Hunter issued a memorandum to employees of the City's Fire Department, stating that free speech must be exercised in accordance with the City's Merit System Rules and Regulations.
- 15. On or about April 16, 2006, plaintiff Davis became aware of a pending proposal before the City Council that would have extended the probationary period of fire fighter recruits from one year to eighteen months (hereafter "proposal"). Plaintiff Davis, and other members of the local labor organization, became concerned that this proposal would undercut the recruitment of qualified fire fighters and adversely impact the performance of fire protection services and employee morale in the fire department.
- 16. On or about April 17, 2006, while off-duty, and in his capacity as the President of the local labor organization, and on behalf of members of the local labor

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organization, plaintiff Davis telephoned defendant Hardin to discuss the proposal and its adverse affects on the recruitment of qualified fire fighters. Defendant Mayor Hardin was not available to take plaintiff Davis's call and plaintiff Davis left a message for defendant Hardin. Defendant Mayor Hardin returned plaintiff Davis's call and discussed the proposal with plaintiff Davis.

- On or about April 20, 2006, while on-duty, plaintiff Davis was summoned 17. to defendant Deputy Chief Waters' office, where defendant Deputy Chief Waters reprimanded plaintiff Davis for contacting defendant Mayor Hardin "outside of the chain of command" in violation of the City's Merit System Rules and Regulations.
- On or about April 21, 2006, plaintiff Davis was directed to report to the 18. office of defendant Personnel Director Goodwin, where defendant Chief Hunter and defendant Deputy Chief Waters were present, and was told that he was being discharged for contacting defendant Mayor Hardin to discuss the proposal.
- Plaintiff Davis appealed his discharge to the City's Personnel Review 19. Board (hereafter "Board"). On or about May 16, 2006, a closed-door hearing was conducted before the Board. The Board recommended to defendant City Manager Roberts that plaintiff Davis' discharge be upheld. On or about May 18, 2006, defendant City Manager Roberts determined, in accordance with the Board's decision, that plaintiff Davis' discharge be upheld.

#### COUNT I

Plaintiff adopts and incorporates by reference herein, paragraphs 1 20. through and including paragraph 19 of this Complaint.

- 21. At times material herein, plaintiff Davis actively spoke out on matters of public concern, including, but not limited to: the City's fire and rescue services; safety; equipment; sufficient staffing; recruitment; and other public safety issues. The right of the plaintiff to speak out freely on these and other matters of public concern is protected by the First Amendment and the Fourteenth Amendment to the U.S. Constitution. The public has a vital interest in free and open discussion on issues of public importance.
- 22. Upon learning that plaintiff Davis had spoken out on such matters of public concern, defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately and/or jointly, engaged in actions, omissions and decisions, aimed at denying the plaintiff's employment rights and protections granted to him under law, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination and efforts to silence the plaintiff, as alleged in this Complaint. These actions, omissions and decisions of the defendants, individually, separately and/or jointly, were designed to cause, have caused, and will continue to cause, the plaintiff to lose wages, compensation, entitlements and rights. In addition, these actions, omissions and decisions of the defendants, individually, separately and/or jointly, were designed to cause, have caused, and will continue to cause, the plaintiff to suffer humiliation and harm to his reputation, emotional and mental injuries, pain and suffering, financial and other adverse consequences, for which plaintiff seeks full damages and make whole relief.
- 23. Such actions, omissions and decisions of defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel

Director Goodwin, individually, separately and/or jointly, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination and efforts to silence the plaintiff were taken in response to, and in retaliation for, the plaintiff's exercise of his constitutional and lawful rights to speak out about matters of public concern, in violation of the plaintiff's rights safeguarded under the First and Fourteenth Amendments to the U.S. Constitution. The grounds and reasons offered by defendants for their adverse actions, omissions and decisions against the plaintiff were false and pretextual.

- 24. Such actions, omissions and decisions on the part of defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately and/or jointly, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination and efforts to silence the plaintiff were taken under the color of law, and such actions, omissions and decisions have deprived the plaintiff of his rights, privileges and immunities secured by the U.S. Constitution and laws in violation of 42 U.S.C. §1983, and for which liability and redress exist under 42 U.S.C. §1983.
- 25. Such unlawful actions, omissions and decisions, as alleged herein, make the defendant City fully liable to the plaintiff under 42 U.S.C. §1983 based on the authority and actual decisions of defendant City and other defendants. In addition, such unlawful actions, omissions and decisions, as alleged herein, were based on the policymaking and final decision-making authority of the defendant City, and were based on the policy, custom and practice of the City.

26. Such unlawful actions, omissions and decisions of defendants Phenix
City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and
Personnel Director Goodwin, individually, separately and/or jointly, alleged herein, were
done in a knowing, willful, reckless, and bad faith manner, and violate clearly
established constitutional and statutory provisions and rights of which a reasonable
person would have known.

#### **COUNT II**

- 27. Plaintiff adopts, and incorporates by reference herein, paragraphs 1 through and including 26, of this Complaint.
- 28. At all material times, plaintiff Davis has been a member of, and actively participated in, an association comprised of fire fighters and rescue employees employed by the defendant City. Plaintiff Davis has also been the elected President of the fire fighters' local association, and he has acted as a leader, and active spokesperson for this association and its members. The right of the plaintiff to freedom of association is protected by the First Amendment and Fourteenth Amendment to the U.S. Constitution.
- 29. Upon learning and recognizing that plaintiff Davis was the principal officer, leader, and a member of the fire fighters' local association, at various times, defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately, and/or jointly, engaged in actions, omissions and decisions, aimed at denying the plaintiff's employment rights and protections granted him under law, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination, and efforts to silence the plaintiff

as alleged earlier in this Complaint. Defendants have also taken actions and made threats aimed at unlawfully preventing and limiting the plaintiff's rights to associate with other fire fighters and rescue employees who are labor association members about matters of common interest, as alleged in this Complaint. These actions, omissions, and decisions of the defendants, individually, separately and/or jointly, were designed to cause, have caused, and will continue to cause, the plaintiff to lose wages, compensation, entitlements and rights. In addition, these actions, omissions and decisions of the defendants, individually, separately and/or jointly, were designed to cause, have caused, and will continue to cause, the plaintiff to suffer humiliation and harm to his reputation, emotional and mental injuries, pain and suffering, financial and other adverse consequences, for which plaintiff seeks full damages and make whole relief.

Such actions, omissions and decisions on the part of defendants Phenix 30. City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately and/or jointly, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination and efforts to silence the plaintiff, were taken in response to, and in retaliation for, the plaintiff's exercise of his constitutional and lawful rights of freedom of association, in violation of the plaintiff's rights safeguarded under the First and Fourteenth Amendments to the U.S. Constitution. The grounds and reasons offered by defendants for their adverse actions, omissions and decisions against the plaintiff were false and pretextual.

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- 31. Such actions, omissions and decisions on the part of defendants Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately and/or jointly, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination and efforts to silence the plaintiff were taken under the color of law, and such actions, omissions and decisions have deprived the plaintiff of his rights, privileges and immunities secured by the U.S. Constitution and laws in violation of 42 U.S.C. §1983, and for which liability and redress exist under 42 U.S.C. §1983.
- 32. Such unlawful actions, omissions and decisions, as alleged herein, make the defendant City fully liable to the plaintiff under 42 U.S.C. §1983 based on the authority and actual decisions of defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin. In addition, such unlawful actions, omissions and decisions, as alleged herein, were based on the policymaking and final decision-making authority of the defendant City, and were based on the policy, custom and practice of the City.
- 33. Such unlawful actions, omissions and decisions of defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately and/or jointly, as alleged herein, were done in a knowing, willful, reckless, and bad faith manner, and which violate clearly established constitutional and statutory provisions and rights of which a reasonable person would have known.

## COUNT III

Document 1

- 34. Plaintiff adopts and incorporates by reference herein paragraphs 1 through and including 33 of this Complaint.
  - 35. The Alabama State Code provides that

All fire fighters serving the state or any municipality in the state either as paid firemen or as volunteer fire fighters who comply with the provisions of this section are assured the right and freedom of association, selforganization and the right to join or continue as members of any employee or labor organization which complies with this section, and shall have the right to present proposals relative to salaries and other conditions of employment by representatives of their own choosing.

Alabama State Code §11-43-143(b).

The Alabama State Code also provides that

No such person shall be discharged or discriminated against because of his exercise of such right, nor shall any person or group of persons. directly or indirectly, by intimidation or coercion compel or attempt to compel any fire fighter or fireman to join or refrain from joining a labor organization.

Alabama State Code §11-43-143(b). This statutory protection extends to any fire fighter who otherwise complies with Section 11-43-143(a), which prohibits municipal fire fighters from participating in any strike or from asserting the right to strike, or from being a member of any organization of employees that asserts the right to strike against any municipality knowing that such organization asserts such right. Neither plaintiff, nor any fire fighters' labor association to which he belongs, has ever participated in any strike against any municipality, nor has any such association ever asserted the right to strike against any municipality.

36. At all material times, plaintiff Davis has been a member of, and actively participated in, an association comprised of fire fighters and rescue employees

employed by the defendant City. Plaintiff Davis has also been the elected President of the fire fighters' local association, and he has acted as a leader, and active spokesperson for this association and its members. The right of the plaintiff to freedom of association is protected by the Alabama State Code §11-43-143(b).

37. Upon learning and recognizing that plaintiff Davis was the principal officer, leader, and a member of the fire fighters' local association, and upon learning that plaintiff Davis made a proposal to the defendant City and defendant Mayor Hardin about not extending the probation period for fire fighters because of the adverse impact it would have on the fire department and employment conditions, at various times, defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately, and/or jointly, engaged in actions, omissions and decisions, aimed at denying the plaintiff's associational and employment rights and protections granted him under state law, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination and efforts to silence the plaintiff as alleged earlier in this Complaint. Defendants have also taken actions and made threats aimed at unlawfully preventing and limiting the plaintiff's rights to associate with other fire fighters and rescue employees who are labor association members about matters of common interest, as alleged in this Complaint. These actions, omissions, and decisions of the defendants, individually, separately and/or jointly, are contrary to the declared public policy of the State of Alabama and in violation of §11-43-143 of the Alabama State Code, and they were designed to cause, have caused, and will continue to cause, the plaintiff to lose wages, compensation, entitlements and rights. In addition, these

actions, omissions and decisions of the defendants, individually, separately and/or jointly, were designed to cause, have caused, and will continue to cause, the plaintiff to suffer humiliation and harm to his reputation, emotional and mental injuries, pain and suffering, financial and other adverse consequences, for which plaintiff seeks full damages and make whole relief.

- Such actions, omissions and decisions on the part of defendants Phenix 38. City, Hardin, Roberts, Hunter, Waters and Goodwin, individually, separately and/or jointly, including, but not limited to, threats of pressure on the job, counseling, threats of termination, termination and efforts to silence the plaintiff, were taken in response to, and in retaliation for, the plaintiff's exercise of his rights under Alabama law. The grounds and reasons offered by defendants for their adverse actions, omissions and decisions against the plaintiff were false and pretextual.
- 39. Such unlawful actions, omissions and decisions of defendants Phenix City, Mayor Hardin, City Manager Roberts, Chief Hunter, Deputy Chief Waters and Personnel Director Goodwin, individually, separately and/or jointly, as alleged herein, were done in a knowing, willful, wanton, reckless, and bad faith manner.

# PRAYER FOR RELIEF

WHEREFORE, the plaintiff prays that this Court grant relief against each of the defendants, individually, separately, and/or jointly, as follows:

Enter a declaratory judgment declaring that the defendants have willfully (a) and wrongfully violated their statutory, constitutional, and legal obligations, and deprived the plaintiff of his rights, privileges, protections, compensation and entitlements under law, as alleged herein;

- Enter a permanent injunction restraining defendants from continuing to (b) deprive the plaintiff of his legal rights;
- Order defendants to rescind plaintiff Davis' May 18, 2006 termination and (c) to immediately reinstate plaintiff Davis to his position in the City's Fire Department;
- Enter a permanent injunction restraining and preventing the defendants (d) from continuing to discriminate and retaliate against the plaintiff, from continuing to interfere with and infringe upon the rights of the plaintiff, and from otherwise violating their obligations under the U.S. Constitution and the law;
- Order a complete and accurate accounting of all the compensation and (e) relief to which the plaintiff is entitled;
- Award the plaintiff monetary damages in the form of backpay (f) compensation, unpaid entitlements, plus pre-judgment and post-judgment interest;
- Award the plaintiff not less than \$500,000 in compensatory damages (g) payable by the defendants, and not less than \$500,000 in punitive damages payable by the individual defendants, for the violations of plaintiff's rights and the harm to his reputation, humiliation, emotional and mental anguish, and for other financial and consequential harm and injuries he has suffered;
- Award plaintiff his reasonable attorneys' fees and the costs and (h) disbursements of this action; and
  - Grant other such legal and equitable relief as may be just and proper. (i)

# JURY TRIAL DEMAND

Plaintiff respectfully requests a trial by jury on all claims presented in this Complaint.

Respectfully submitted,

Thomas A. Woodley

Molly A. Elkin Bryan G. Polisuk

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